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PATENT AND TRADEMARK OFFICE

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PATENT APPLICATION

Inventor(s): Fen-Chung Kung et al.
Docket No.: 1999-0300
Application No.: 09/475165
Filing Date: 12/30/1999
Examiner Name: Not Yet Assigned
Group Art Unit: 2739
Title: BRG With PBX Capabilities

MAIL STOP PATENT APPLICATION
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. §1.97(b)).
- ☐ 2. This information disclosure statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under §1.53(d); more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. §1.97(c)).

- ☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(1)).
- ☐ b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(2)).
- ☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and to ensure consideration of the disclosed information.
- ☐ 3. This information disclosure statement is being filed after the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311, or an action which otherwise closes prosecution, whichever occurs first, but on or before payment of the Issue Fee. (37 C.F.R. §1.97(d)).
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- ☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and ensure consideration of the disclosed information.

Respectfully,

Date: 5/30/03

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P.O. Box 4110
Middletown, New Jersey 07748-4110

By: Michele L. Conover

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|------------------------------|
| Michele L. Conover, Attorney |
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| T: 908-532-1879 |
| F: 908-532-1219 |

Substitute for form 1449/PTO

Complete Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number 09/475165
 Filing Date 12/30/1999
 First Named Inventor Fen-Chung Kung et al.
 Group Art Unit 2739
 Examiner Name Not Yet Assigned
 Attorney Docket Number 1999-0300

Sheet 1 of 3

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document ² MM-DD-YYYY | Pages, Columns, Lines, or Where Relevant Passages or Relevant Figures Appear |
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| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ² |
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|------------------------|-----------------------|
| Application Number | 09/475165 |
| Filing Date | 12/30/1999 |
| First Named Inventor | Fen-Chung Kung et al. |
| Group Art Unit | 2739 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 1999-0300 |

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|-------|---|----|---|
| Sheet | 3 | of | 3 |
|-------|---|----|---|

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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| | PA3 | Bodamer, Charging in Multi-Service Networks, University of Stuttgart, pp. 1-15, 11/12/1998 | <input type="checkbox"/> |
| | PA4 | Odlyzko, et al., A Modest Proposal for Preventing Internet Congestion, DIMACS Technical Report, pp. 1-29, 10/1997 | <input type="checkbox"/> |
| | PA5 | PKT-TR-ARCH-VOL-991201, Packetcable 1.0 Architecture Framework Technical Report, CableLabs, pp 1-64, 12-01-99 | <input type="checkbox"/> |
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| Application Number | 09/475165 | 3663 |
| Filing Date | 12/30/1999 | |
| First Named Inventor | Fen-Chung Kung et al. | # |
| Group Art Unit | 2739 | 11 |
| Examiner Name | Not Yet Assigned | |
| Attorney Docket Number | 1999-0300 | |

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Enclosures (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☒ Amendment / Response
- ☐ After Final
- ☐ Affidavits / Declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53
- ☒ Response to Missing Parts/Incomplete Application

- ☐ Assignment & Recordation Cover Sheet
- ☐ Drawing(s) & Letter to Official Draftsman
- ☐ Licensing-related Papers
- ☐ Petition to the Commissioner
- ☐ Petition to Convert a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communications to Board of Appeals and Interferences
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| Signature | <i>Michael Catalano</i> |
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